FARVIEW

FARVIEW MANAGEMENT, LLC

Privacy Policy Statement

At Farview Management, LLC ("Farview," "we," "us," or "our"), we know trust is the bedrock of our business, and safeguarding your personal information is of the utmost importance to us. We take our responsibility to protect your privacy extremely seriously.

Federal and state law gives you the right to know how financial companies collect, share, and protect your personal information. This notice explains what nonpublic personal information we collect, how we use and share it, and how we protect it. It applies to all individuals who are current or former clients of Farview, as well as to individuals who own or sign on behalf of client entities.

YOUR INFORMATION IS NOT FOR SALE

We want to be clear: we do not sell your personal information to anyone, under any circumstances. We do not rent, lease, or sell our client lists or personal data to marketers or other organizations.

SHARING AT A GLANCE

Financial companies must share some customer information to run their everyday business. The table below lists the reasons financial companies can share personal information, whether Farview shares for that reason, and whether you can limit that sharing.

Reasons we may share your personal information	Does Farview share?	Can you limit this sharing?
For our everyday business purposes — such as to process your transactions, maintain your accounts, deduct authorized advisory fees, respond to court orders and legal investigations, and meet regulatory requirements	Yes	No
For our marketing purposes — to offer our products and services to you	No	We don't share
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes — information about your transactions, experiences, or creditworthiness	No	We have no affiliates
For our affiliates to market to you	No	We have no affiliates
For nonaffiliates to market to you	No	We don't share

INFORMATION WE COLLECT

We collect nonpublic personal information during the ordinary course of our relationship to manage your accounts and provide quality service. Depending on the services you receive, this may include:

- **Information you provide to us** on applications, advisory agreements, account forms, and questionnaires, such as your name, address, email, telephone number, Social Security or taxpayer identification number, date of birth, government-issued identification, employment, income, assets, net worth, tax information, investment experience, objectives, and risk tolerance, and beneficiary information.

- **Information about your accounts and transactions** with us and with your custodian, such as account balances, holdings, transaction history, and advisory fees.
- **Information you share in communications** with us, including by phone, email, video conference, or in person.
- **Information from third parties you authorize,** such as your accountant, attorney, plan sponsor, or other financial professionals.

HOW WE USE YOUR INFORMATION

We use your information to provide and administer our advisory services and operate our business, including developing and managing your portfolio, executing transactions, processing authorized fee deductions and client-requested disbursements, verifying your identity, maintaining required books and records, and complying with our legal and regulatory obligations.

WHEN AND WHY WE SHARE YOUR INFORMATION

We share nonpublic personal information only as permitted by law and only to the extent necessary for the purposes described below:

- **Custodians and broker-dealers** that hold your assets and execute your transactions.
- **Service providers** that help us operate our business and service your accounts, such as portfolio management, custodial support, technology, cybersecurity, data storage communications, document management, compliance, and other service providers that assist us in operating our business and servicing your accounts. We require these parties to keep your information confidential and to use it only for the services they perform for us.
- **Professional advisers to our firm,** such as our accountants, attorneys, auditors, and compliance consultants, who are bound by confidentiality obligations.
- **Regulators and legal authorities** when required or permitted by law, for example, in response to examinations or inquiries by the Georgia Secretary of State Securities Division, the New York State Office of the Attorney General, or other governmental or self-regulatory authorities; to comply with subpoenas, court orders, or other legal process; or to protect against fraud or unauthorized transactions.
- **A successor firm,** in connection with a sale, merger, or transfer of our business, as permitted by law. We would notify you in advance of any such transfer.
- **Anyone you direct,** such as your accountant, attorney, or family member, when you have authorized us to do so.

Except in these limited situations, we do not share your information with outside parties unless you have explicitly authorized us to do so.

HOW WE PROTECT YOUR INFORMATION

Your personal information is accessible only to Farview personnel who need it to provide services and manage your account(s). We maintain written administrative, technical, and physical safeguards reasonably designed to protect the security and confidentiality of client information, protect against anticipated threats or hazards to its security or integrity, and prevent unauthorized access to or use of it. Our safeguards include, among other measures:

- Password-protected and encrypted devices and systems, with multi-factor authentication where available;
- Secure storage of physical records and secure destruction (shredding or permanent erasure) of records we no longer need to retain;
- Due diligence on, and confidentiality commitments from, the service providers that have access to client information; and
- Periodic review and updating of our privacy and information-security policies and procedures.

NOTIFICATION OF A DATA SECURITY INCIDENT

If we discover unauthorized access to or acquisition of your personal information, we will notify you, and any regulators as required, in accordance with applicable federal and state law, including the data breach notification laws of New York and Georgia.

PROTECTING YOURSELF AGAINST FRAUD

Farview will never ask you to send your Social Security number, account numbers, or passwords by unencrypted email. To protect your assets, we confirm requests for wires and other disbursements directly with you by telephone. If you receive a suspicious message that appears to come from us, please call us at 404-590-3982 before responding.

FORMER CLIENTS

If your relationship with Farview ends, we will continue to treat your information in accordance with this notice. We retain client records for the periods required under applicable securities laws and dispose of them securely thereafter.

YOUR CHOICES

Because Farview does not share your information with affiliates or nonaffiliated third parties except as permitted by law without an opt-out, there is no need for you to take any action to limit our sharing. If our practices change in a way that would give you the right to opt out, we will notify you in advance and give you a reasonable opportunity to do so before any such sharing occurs. You may also have additional rights under the laws of your state of residence.

THIRD-PARTY WEBSITES

Our website may contain links to external websites that are not operated or controlled by Farview Management, LLC. These links are provided for your convenience only. We do not monitor these websites and are not responsible for their content or for how they collect, use, or protect your personal information. We encourage you to review the privacy policy of any website you visit.

DELIVERY OF THIS NOTICE AND FUTURE CHANGES

We provide this notice to you at the beginning of our relationship and annually thereafter for as long as you remain a client, and we will provide a revised notice before implementing any material change to our privacy practices. A current copy is available at any time, free of charge, upon request.

CONTACT US

If you have any questions or concerns about our privacy practices, please don’t hesitate to reach out. Your trust is important to us, and we are here to assist you.

Daniel Docherty, CFA
Founding Partner & Chief Compliance Officer
Farview Management, LLC
504 Zeal St SE, Atlanta, GA 30315
404-590-3982 | dan@farviewmgmt.com